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DOCKET NO.: 3858-008-27

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/676,779  
Applicant(s): Richard J. ROBY, et al.  
Filing Date: October 2, 2003  
For: METHOD AND APPARATUS FOR INDICATING ACTIVATION OF  
A SMOKE DETECTOR ALARM  
Group Art Unit:  
Examiner:

SIR:

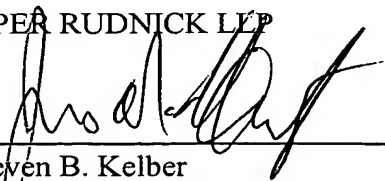
Attached hereto for filing are the following papers:

Information Disclosure Statement  
PTO-1449  
Cited Documents (20)

Our check in the amount of \$ 0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

  
\_\_\_\_\_  
Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

James M. Heintz  
Registration No.: 41,828



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James M. Heintz  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Richard J. ROBY, et al.

GROUP ART UNIT:

SERIAL NUMBER: 10/676,779

EXAMINER:

FILED: October 2, 2003



FOR: METHOD AND APPARATUS FOR INDICATING ACTIVATION OF A SMOKE DETECTOR ALARM  
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- ☐ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- ☐ Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

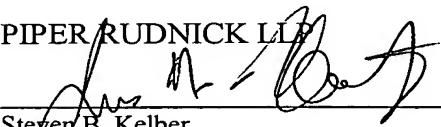
- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- ☐ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☐ with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- ☐ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

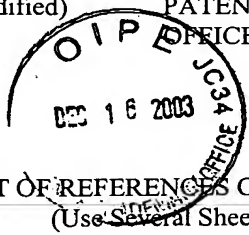
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James M. Heintz  
Registration No.: 41,828

Form PTO 1449 U.S. DEPARTMENT OF  
(Modified) COMMERCE  
PATENT AND TRADEMARK  
OFFICE



LIST OF REFERENCES CITED BY APPLICANT  
(Use Several Sheets if Necessary)

DOCKET NO.

3858-008-27

SERIAL NO.

10/676,779

APPLICANT

Richard J. ROBY, et al.

FILING DATE

October 2, 2003

GROUP ART UNIT

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUME NT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,220,857	9/2/1980	C. BRIGHT			
	AB	4,227,191	10/7/1980	S. RABER			
	AC	4,250,500	2/10/1981	O. SCHADE			
	AD	4,260,981	4/7/1981	Y. YAMAUCHI, et al.			
	AE	4,287,509	9/1/1981	D. BEGGS			
	AF	4,365,238	12/21/1982	A. KOLLIN			
	AG	4,380,759	4/19/1983	J. SULKOSKI, et al.			
	AH	4,438,428	3/20/1984	J. OBER, et al.			
	AI	4,777,474	10/11/1988	J. CLAYTON			
	AJ	4,853,674	8/1/1989	M. KISS			
	AK	5,012,223	4/30/1991	C. GRIEBELL, et al.			
	AE	5,651,070	7/22/1997	T. BLUNT			
	AM	5,666,331	9/9/1997	A. KOLLIN			
	AN	5,686,884	11/11/1997	D. LARKIN			
	AO	5,691,703	11/25/1997	R. ROBY, et al.			
	AP	5,790,050	8/4/1998	P. PARKER			
	AQ	5,867,105	2/2/1999	W. HAJEL			

## FOREIGN PATENT DOCUMENTS

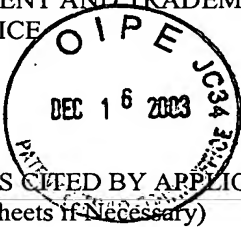
		DOCUME NT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AR					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AS	
EXAMINER	DATE CONSIDERED	

\*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO. 3858-008-27		SERIAL NO. 10/676,779	
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)		APPLICANT Richard J. ROBY, et al.			
		FILING DATE October 2, 2003		GROUP ART UNIT	



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUM NT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AT	5,917,420	6/19/1999	A. GONZALEZ			
	AU	5,990,797	11/23/1999	E. ZLOTCHENKO, et al.			
	AV	6,094,134	7/25/2000	M. COHEN			
	AW						
	AX						
	AY						
	AZ						
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						

FOREIGN PATENT DOCUMENTS					
DOCUM NT NUMBER	DATE	COUNTRY	TRANSLATION		
			YES	NO	
BK					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)	
BL	

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